



POWERHOCKEY
CANADA

POLICY:	Appeals Policy	APPROVED: Sept. 23, 2020	REVISED:	PAGES: 4
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Definitions

1. The following terms have these meanings in this Policy:

- a) “*Appellant*” – The Party appealing a decision
- b) “*Corporation*” – PowerHockey Canada
- c) “*Respondent*” – The body whose decision is being appealed
- a) “*Case Manager*” – An individual appointed by the Corporation, who need not be a member or affiliated with the Corporation, as described in POWERHOCKEY CANADA’s *Discipline and Complaints Policy* to oversee management and administration of appeals.
- d) “*Parties*” – The Appellant, Respondent, and any other Members or persons affected by the appeal
- e) “*Days*” – Days including weekends and holidays
- f) “*Individuals*” – All categories of membership defined in the Corporation’s Bylaws, as well as all individuals employed by or engaged in activities with the Corporation including, but not limited to, athletes, coaches, support persons, officials, volunteers, managers, administrators, committee members, and directors and officers of the Corporation.
- g) “*In writing*”- A letter, fax or email sent directly to the Corporation.

Purpose

2. The Corporation is committed to providing an environment in which all Individuals involved with the Corporation are treated with respect and fairness. The Corporation provides Individuals with this *Appeal Policy* to enable fair, affordable, and expedient appeals of certain decisions made by the Corporation. Further, some decisions made by the process outlined in the Corporation’s *Discipline and Complaints Policy* may be appealed under this Policy.

Scope and Application of this Policy

3. This Policy applies to all Individuals. Any Individual who is directly affected by a Corporation decision shall have the right to appeal that decision; provided there are sufficient grounds for the appeal under the ‘Grounds for Appeal’ section of this Policy.
4. This Policy **will not apply** to decisions relating to:
 - a) Employment
 - b) Infractions for doping offenses
 - c) The rules of the sport
 - d) Selection criteria, quotas, policies, and procedures established by entities other than the Corporation
 - e) Substance, content and establishment of team selection criteria
 - f) Volunteer/coach appointments and the withdrawal or termination of those appointments
 - g) Budgeting and budget implementation
 - h) The Corporation’s operational structure and committee appointments
 - i) Decisions or discipline arising within the business, activities, or events organized by entities other than the Corporation (appeals of these decisions shall be dealt with pursuant to the policies of those other entities unless requested and accepted by the Corporation at its sole discretion)

- j) Commercial matters for which another appeals process exists under a contract or applicable law
- k) Decisions made under this Policy

Timing of Appeal

5. Individuals who wish to appeal a decision have seven (7) days from the date on which they received notice of the decision to submit, in writing to the Corporation, the following:
 - a) Notice of the intention to appeal
 - b) Contact information and status of the appellant
 - c) Name of the respondent and any affected parties, when known to the Appellant
 - d) Date the appellant was advised of the decision being appealed
 - e) A copy of the decision being appealed, or description of decision if written document is not available
 - f) Grounds for the appeal
 - g) Detailed reasons for the appeal
 - h) All evidence that supports these grounds
 - i) Requested remedy or remedies
 - j) An administration fee of one hundred dollars (\$100)
6. An Individual who wishes to initiate an appeal beyond the seven (7) day period must provide a written request to the Corporation stating the reasons for an exemption. The decision to allow, or not allow, an appeal outside of the seven (7) day period will be at the sole discretion of the Case Manager and may not be appealed.

Grounds for Appeal

7. A decision cannot be appealed on its merits alone. An appeal may only be heard if there are sufficient grounds for appeal. Sufficient grounds include if the Respondent:
 - a) Made a decision that it did not have the authority or jurisdiction (as set out in the Respondent's governing documents) to make
 - b) Failed to follow its own procedures (as set out in the Respondent's governing documents)
 - c) Made a decision that was influenced by bias (where bias is defined as a lack of neutrality to such an extent that the decision-maker appears not to have considered other views)
 - d) Failed to consider relevant information or took into account irrelevant information in making the decision
 - e) Made a decision that was grossly unreasonable
8. The Appellant must demonstrate, on a balance of probabilities, that the Respondent has made a procedural error as described in the 'Grounds for Appeal' section of this Policy and that this error had, or may reasonably have had, a material effect on the decision or decision-maker.

Screening of Appeal

9. Upon receiving the notice of the appeal, the fee, and all other information (outlined in the 'Timing of Appeal' section of this Policy), the Corporation and the Appellant may first determine the appeal to be heard under the Corporation's *Alternate Dispute Resolution Policy*.
10. Appeals resolved by mediation under the Corporation's *Alternate Dispute Resolution Policy* will cause the administration fee to be refunded to the Appellant.
11. Should the appeal not be resolved by using the *Alternate Dispute Resolution Policy*, the Corporation will appoint an independent Case Manager who has the following responsibilities:
 - a) Determine if the appeal falls under the scope of this Policy
 - b) Determine if the appeal was submitted in a timely manner
 - c) Decide whether there are sufficient grounds for the appeal

12. If the appeal is denied on the basis of insufficient ground, because it was not submitted in a timely manner, or because it did not fall under the scope of this Policy, the Appellant will be notified, in writing, of the reasons for this decision. This decision may not be appealed.
13. If the Case Manager is satisfied there are sufficient grounds for an appeal, the Case Manager will appoint an Appeals Panel which shall consist of a single Adjudicator, to hear the appeal. In extraordinary circumstances, and at the discretion of the Case Manager, a Panel of three persons may be appointed to hear the appeal. In this event, the Case Manager will appoint one of the Panel's members to serve as the Chair.

Procedure for Appeal Hearing

14. The Case Manager shall notify the Parties that the appeal will be heard. The Case Manager shall then decide the format under which the appeal will be heard. This decision is at the sole discretion of the Case Manager and may not be appealed.
15. If a Party chooses not to participate in the hearing, the hearing will proceed in any event.
16. The format of the hearing may involve an oral in-person hearing, an oral hearing by telephone or other electronic means, a hearing based on a review of documentary evidence submitted in advance of the hearing, or a combination of these methods. The Case Manager will ensure every reasonable effort is made to accommodate accessibility needs. The hearing will be governed by the procedures that the Case Manager and the Panel deem appropriate in the circumstances, provided that:
 - a) The hearing will be held within a timeline determined by the Case Manager
 - b) The Parties will be given reasonable notice of the day, time and place of the hearing
 - c) Copies of any written documents which the parties wish to have the Panel consider will be provided to all Parties in advance of the hearing
 - d) The Parties may be accompanied by a representative, advisor, or legal counsel at their own expense
 - e) The Panel may request that any other individual participate and give evidence at the hearing
 - f) The Panel may allow as evidence at the hearing any oral evidence and document or item(s) relevant to the subject matter of the appeal, but may exclude such evidence that is unduly repetitious and shall place such weight on the evidence as it deems appropriate
 - g) If a decision in the appeal may affect another party to the extent that the other party would have recourse to an appeal in their own right under this Policy, that party will become a party to the appeal in question and will be bound by its outcome
 - h) The decision to uphold or reject the appeal will be by a majority vote of Panel members
17. In fulfilling its duties, the Panel may obtain independent advice.

Appeal Decision

18. The Panel shall issue its decision, in writing and with reasons, after the hearing's conclusion. In making its decision, the Panel will have no greater authority than that of the original decision-maker. The Panel may decide to:
 - a) Reject the appeal and confirm the decision being appealed
 - b) Uphold the appeal and refer the matter back to the initial decision-maker for a new decision
 - c) Uphold the appeal and vary the decision
 - d) Determine whether costs of the appeal, excluding legal fees and legal disbursements of any Parties, will be assessed against any Party. In assessing costs, the Panel will take into account the outcome of the appeal, the conduct of the Parties, and the Parties' respective financial resources
19. The Panel's written decision, with reasons, will be distributed to all Parties, the Case Manager, and the Corporation. In extraordinary circumstances, the Panel may first issue a verbal or summary decision

soon after the hearing's conclusion, with the full written decision to be issued thereafter. The decision will be considered a matter of public record unless decided otherwise by the Panel and as such other individuals or organizations may be advised of any decisions rendered in accordance with this Policy including but not limited to, national sport organizations, provincial partners, stakeholders, etc.

Timelines

20. If the circumstances of the appeal are such that adhering to the timelines outlined by this Policy will not allow a timely resolution to the appeal, the Case Manager and/or Panel may direct that these timelines be revised.

Confidentiality

21. The appeals process is confidential and involves only the Parties, the Case Manager, the Panel, and any independent advisors to the Panel. Once initiated and until a decision is released, none of the Parties will disclose confidential information to any person not involved in the proceedings.

Final and Binding

22. The decision of the Panel will be binding on the Parties and on all the Corporation's Individuals; subject to the right of any Party to seek a review of the Panel's decision pursuant to the rules of the Sport Dispute Resolution Centre of Canada (SDRCC).
23. No action or legal proceeding will be commenced against the Corporation or Individuals in respect of a dispute, unless the Corporation has refused or failed to provide or abide by the dispute resolution process and/or appeal process as set out in the Corporation's governing documents.